

12-31-01

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF EXPRESS MAILING**

Attorney Docket No.: LAM2P238.CIP

First Named Inventor: Travis Robert TAYLOR

I hereby certify that this paper and the documents and/or referred to as attached herein are being deposited with the United States Postal Service on December 21, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **96843912US**, addressed to the Commissioner for Patents, Washington, DC 20231.

Signed: \_\_\_\_\_

Neely Jo Weldy

10/029958  
10/21/01  
12/21/01

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**

(Continuation, Divisional or Continuation-in-part application)

Commissioner for Patents  
**Box Patent Applications**  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventor:  
Travis Robert TAYLOR and Cangshan XU

For: **APPARATUS FOR EDGE POLISHING UNIFORMITY CONTROL**

This application is a ☐ Continuation ☐ Divisional ☒ Continuation-in-part

of prior Application No.: 09/823,722, from which priority under 35 U.S.C. §120 is claimed.

**Application Elements:**

- ☒ 30 Pages of Specification, Claims and Abstract
- ☒ 14 Sheets of Informal Drawings
- ☒ 02 Pages of Combined Declaration and Power of Attorney
  - ☒ Newly executed (original or copy)
    - ☐ Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).  
The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
    - ☐ Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

**Accompanying Application Parts:**

- ☒ Assignment and Assignment Recordation Cover Sheet (check in payment of recording fee of \$40.00 enclosed)
- ☐ Power of Attorney
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)
- ☒ Return Receipt Postcard
- ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- ☐ Other:

Extension of Time for Prior Pending Application

- ☐ A Petition for Extension of Time is being concurrently filed in the prior pending application. A copy of the Petition for Extension of Time is attached.
- ☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained.)

Fee Calculation (37 CFR § 1.16)

	(Col. 1) <u>NO. FILED</u>	(Col. 2) <u>NO. EXTRA</u>	<u>SMALL ENTITY</u> <u>RATE</u>	<u>FEE</u>	<u>OR</u>	<u>LARGE ENTITY</u> <u>RATE</u>	<u>FEE</u>
BASIC FEE			\$355	\$	OR	\$740	\$740
TOTAL CLAIMS	20 -20 = 0		x09 =	\$	OR	x18 =	\$
INDEP. CLAIMS	3 -03 = 0		x42 =	\$	OR	x84 =	\$
[ ] Multiple Dependent Claim Presented			\$140 =	\$	OR	\$280 =	\$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	OR	Total	\$740

- ☒ Check No. 6256 in the amount of \$740.00 is enclosed.
- ☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. LAM2P238.CIP).

Request for Small Entity Status

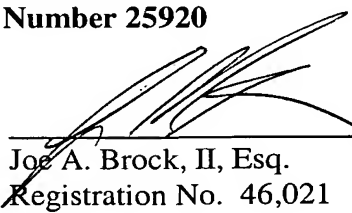
- ☐ Applicant is entitled to small entity status.

General Authorization for Petition for Extension of Time (37 CFR §1.136)

- ☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805.(Order No. LAM2P238.CIP)
- ☒ Please send correspondence to the following address:

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Sunnyvale, CA 94085  
Customer Number 25920

Date: December 21, 2001

  
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Joe A. Brock, II, Esq.  
Registration No. 46,021